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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: E. Kanzaki et al.

Docket No.: JP920000194US1

Serial No.: 09/682,611

Group Art Unit: 2181

Filed: September 26, 2001

Examiner:

For: DATA TRANSFER DEVICE AND METHOD THEREOF

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Being filed herewith are copies of the following:

A) Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted, dated 10/22/2001, Applicants enclose herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63. The \$130.00 fee to cover the surcharge under 37 C.F.R. §1.16(e) is hereby authorized to be charged to Deposit Account No. 09-0468. A copy of the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted is further enclosed.

B) Deposit Account Authorization Form

C) Declaration and Power of Attorney

D) Recordation Form Cover Sheet

E) Assignment to IBM

F) Submission of Priority Document

G) Certified Japan Priority Application No. 2000-291644

H) Information Disclosure Statement Transmittal Letter

- I) PTO-1449 Form With 2 References Attached
- J) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,



Derek S. Jennings - Date November 20, 2001  
Reg. Patent Agent/Engineer  
Reg. No. 41,473  
Tel. No. (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598



*Jector*

*H3*

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application  
Transmittal Letter  
Deposit Account Authorization Form  
Declaration and Power of Attorney  
Recordation Form Cover Sheet  
Assignment to IBM  
Submission of Priority Document  
Certified Japan Priority Application No. 2000-291644  
Information Disclosure Statement Transmittal Letter  
PTO-1449 Form with 2 references  
Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on November 20, 2001

**Maureen Gander**  
(Type or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)



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Assistant Commissioner for Patents  
Washington, DC 20231

**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated October 22, 2001, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

  
\_\_\_\_\_  
Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No.: 41, 473  
Tel. No.: (914) 945-2144

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Docket No.: JP920000194US1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/682,611	09/26/2001	Eisuke Kanzaki	JP920000194

00874  
IBM CORPORATION, T.J. WATSON RESEARCH CENTER  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

CONFIRMATION NO. 7836  
FORMALITIES LETTER  
  
 \*OC00000006941545\*

Date Mailed: 10/22/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2001 AGOITOM 0000003 090468 09682611

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**TRANSMITTAL LETTER**

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' attorney that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

  
Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No. 41,473  
(914) 945-2144

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